

SYPA Record of Breaches

Year	Ref	Date Identified	Type of Breach (e.g. personal data, contributions, criminal activity, etc)	Description	Action Taken in Response to Breach	Possible Impact (Red/Amber/Green)	Date Reported to Local Pension Board or Authority	Reported to Pensions Regulator or other statutory body (e.g. ICO)?	Reported to Data Protection Officer?	Details of any follow up actions taken/required or wider implications	Breach Open/Closed
2020/21	44	31/07/20	Personal Data	Deferred Benefit notification sent to wrong member following incorrect address change provided by employer.	Member disposed of notification confidentially.	Green	15/10/2020 (LPB)	NO	NO	Employer notified of their error.	Open pending any Board comments
2020/21	45	12/08/20	Personal Data	Invite to view deferred benefit statement online was sent to member at wrong address.	Apologised to member. Letter did not contain any financial information.	Green	15/10/2020 (LPB)	NO	NO	NA. Wrong address had been held historically but never queried by member.	Open pending any Board comments
2020/21	46	25/08/20	Personal Data	Refund notification sent to member at wrong address as incorrect address provided by employer.	Recipient asked to return or destroy letter.	Green	15/10/2020 (LPB)	NO	NO	Employer notified of their error.	Open pending any Board comments
2020/21	47	24/09/20	Personal Data	Group Email sent to 10 members about the portal which displayed all 10 email addresses.	Apologised to members. No other personal information disclosed.	Green	15/10/2020 (LPB)	NO	NO	Delay mechanism added to this procedure to reduce risk of recurrence.	Open pending any Board comments
		01/08/20	Statutory Disclosure	Delay in issuing Annual Benefit Statements to some members	Refer to Admin Report	Red	15/10/2020 (LPB)	YES	NO	Refer to Admin Report	Open pending any Board comments

Year	Ref	Date Identified	Description of Cybersecurity Incident	Action Taken in Response to Incident	Date Reported to Local Pension Board or Authority	Reported to Pensions Regulator or other statutory body (e.g. ICO)?	Reported to Data Protection Officer?	Details of any follow up actions taken/required or wider implications	Incident Open/Closed
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2020/21	CS3	20/07/20	Email alerts received from Google to notify that a new owner had been added to the website folder structure. Hacker had created html webpages with links to live football streaming sites.	Full incident report prepared and actions taken as summarised. No breach of personal data involved.	15/10/2020 (LPB)	NO	NO	Website checked to confirm integrity and then restored to earlier version. Cause identified (vulnerability within CMS). Access restricted and CMS upgraded to remove vulnerability. New weekly checks introduced.	Closed
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